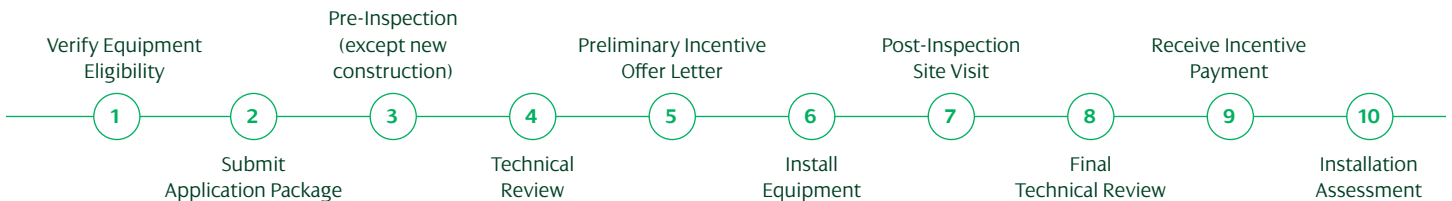


NYS Clean Heat Program

Custom Program Application

Get incentives for installing energy-efficient electric heat pump systems for space- and water-heating.



Eligibility for Custom Incentives

- Multifamily with five or more dwelling units in scope
- Total heat pump heating capacity of 300,000 Btu/h or higher
- Air source VRF, large unitary heat pumps, heat recovery chillers, SPVHPs, PTHPs, DOAS, ERV/HRVs or envelope improvements installed with eligible heat pumps

How to Participate

- 1. Verify Eligibility:** Consult the NYS Clean Heat Program Manual or contact a program representative.
- 2. Submit Application Package:** Email your application to your program representative, nyscleanheat@icf.com or your account manager.
- 3. Pre-Inspection:** NYSEG or RG&E will document the existing condition of the site. Not required for new construction.
- 4. Technical Review:** NYSEG or RG&E will review the technical documentation to determine preliminary savings and incentives.
- 5. Preliminary Incentive Offer Letter:** NYSEG or RG&E will issue an offer letter that identifies incentives and payees.
- 6. Install Equipment:** When the project is commissioned, notify your program representative and submit the final project invoices.
- 7. Post-Inspection:** NYSEG or RG&E will inspect the site to confirm all work was installed in accordance with the project application.
- 8. Final Technical Review:** NYSEG or RG&E will review the final project invoices and post-inspection findings, revising energy savings calculations as necessary, and determine the final savings and incentive.
- 9. Receive Incentive Payment:** NYSEG or RG&E will pay the incentive to the customer or their designee as listed on this application.
- 10. Installation Assessment:** NYSEG or RG&E may select the project for a quality assurance site visit, in accordance with Section 5 of the NYS Clean Heat Program Manual.

Application Package — Required Documents

Administrative documents

- Completed application
- Incentive recipient W-9 (if payee is not Participating Contractor)
- Cost estimate for proposed work, including labor and materials

Documents for technical review

All Projects

- Description of project and inventory of equipment to be installed, such as a proposal, statement of work, or mechanical schedule. Please describe what will happen to the existing heating system and any backup or supplemental heating system(s)
- AHRI certificates and/or NEEP certificates for all proposed heat pump equipment
- Cutsheets for other proposed equipment
- Building heating and cooling loads (e.g., Btu/h) and source documentation. Block loads must be provided. Room-by-room models may be requested.¹ Include both model inputs and outputs
- NYS Clean Heat Custom Calculator (recommended)²

For Ground-Source Heat Pumps

- Ground loop design report with avg, min, max entering water temperatures and bore field pumping information

For ERV/HRV

- Whether or not the ERV/HRV is required by code. If not, provide a summary referencing section C403.7.4 of 2020 Energy Conservation Construction Code of New York State

For Domestic Hot Water

- Location to be installed

New Construction Sites

- Code compliance documentation

Envelope Improvements

- Base-case load report, before envelope improvements or at code minimum values
- As-built load report, modeling the building with all planned improved envelope efficiencies

Additional requirements for partial load projects or projects with supplemental/backup heating system(s)³

- Description of any existing or new heating system or system components serving the same space as the heat pump system. Documentation showing
 - Whether a supplemental heating system is required to accommodate the design heating load
 - Why additional electrification above and beyond the proposed design is not feasible (if applicable)
 - Description of the control strategy that will be employed to ensure that the heat pump system is prioritized for heating

For projects applying for funding from NYSERDA programs

- Documentation showing program(s) applied for and award amount, such as award letter from NYSERDA

Account Holder Information

Account Name <i>(as shown on your NYSEG or RG&E bill)</i>		Customer Federal Tax ID Number
Contact Name	Title	Email
Mailing Address	City	State ZIP
Office Phone	Mobile Phone	
Electric Billing Account Number <i>(Required for existing buildings)</i>		

¹ Equipment installed in commercial buildings must be sized in accordance with heating and cooling load calculations following ANSI/ASHRAE/ACCA Standard 183-2007 (RA2017) or other code-approved equivalent computational procedure.

² Can be found at cleanheat.ny.gov/contractor-resources/ under "Resources for Applications."

³ Partial load systems are defined as heating systems designed to serve less than 100% of the building heating load at design temperature.

Site Information

Service Address _____

City _____

State _____

ZIP _____

Building Type *(Check one, select building type with largest square feet for mixed use buildings):*

- | | | |
|--|--|---|
| <input type="checkbox"/> Office | <input type="checkbox"/> Warehouse | <input type="checkbox"/> Residential |
| <input type="checkbox"/> Assembly | <input type="checkbox"/> Laboratory | <input type="checkbox"/> Multifamily low-rise (< 4 floors) # dwelling units: _____ |
| <input type="checkbox"/> Health | <input type="checkbox"/> Hotel # dwelling units: _____ | <input type="checkbox"/> Multifamily high-rise (≥ 4 floors) # dwelling units: _____ |
| <input type="checkbox"/> Light Manufacturing | <input type="checkbox"/> Retail | <input type="checkbox"/> Other _____ |
| <input type="checkbox"/> Restaurant | <input type="checkbox"/> School | |

Age of building: _____ Number of buildings: _____ Square footage conditioned by heat pumps: _____ sq. ft.

Project Type (select one): Retrofit Gut Rehab* New Construction

*Gut Rehab is a renovation that removes material down to structural load-bearing beams, as defined by the NY TRM.

Existing Heating System:

Fuel: Natural Gas Oil Propane Electric Resistance Other

What will happen to the existing heating system? *(if applicable)*

- Removed from site Decommissioned *(equipment inoperable)* Left in place *(equipment operable)*

Heat Pump Heating System Scope *(please attach explanation of the project scope)*

Will the heating system serve the entire building? Yes No

Percentage of heating load of the project scope to be served by heat pump >100%, Full Load <100%, Partial Load

Will there be any other heating system serving the area in addition to the heat pump? Yes No

If Yes, describe system left in place: _____

Proposed Technology *(check all that apply)*

Space Heating Equipment

- NEEP-Listed ASHP
- VRF Heat Pump
- Large Unitary Heat Pump
- Ground-Source Heat Pump
- Air-To-Water Heat Pump
- ERV/HRV
- Heat Pump – DOAS

Building Envelope Improvements

- Windows
- Wall Insulation
- Roof Insulation
- Air Sealing
- Other

Water Heating Equipment

- Residential-Rated Air-Source HPWH
- Commercial Air-Source HPWH
- Ground-Source Heat Pump
- Other**
- Heat Recovery Chiller
- Heat Pump Chiller

Start Date of Project: _____ Expected Completion Date _____ *(equipment installed and commissioned):* _____

Contractor, Vendor and Incentive Payment Information

Installation Contractor *(Required. Installer must be a Clean Heat Participating Contractor)*

Company Name _____

Contact Person _____

Street Address _____

City _____

State _____

ZIP _____

Phone _____

Email Address _____

Is this party completing this application? Yes No

Project Designer (Required if applicant is not the Account Holder or Installation Contractor)

Company Name	Contact Person		
Street Address	City	State	ZIP
Phone	Email Address		
Federal Tax ID Number (Required if receiving incentive)			
Is this party completing this application? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Type of Company <input type="checkbox"/> Equipment Vendor <input type="checkbox"/> Engineering Firm <input type="checkbox"/> Other _____			

Main Incentive Payee (If Account Holder is not the payee, signature is required below)

Payee Account Holder Installation Contractor Project Designer Other (fill out below)

Name	Contact Person		
Street Address	City	State	ZIP
Phone	Email Address		
Federal Tax ID Number (Required if receiving incentive):			
<input type="checkbox"/> The Account Holder hereby authorizes the total incentive to be paid directly to the Party referenced above. If this box is checked, the NYSEG or RG&E Account Holder must sign here and the signature must match the signature at the end of this application. The entity being paid must provide their W-9.			
Account Holder (please print)	Title (please print)		
Account Holder Signature	Date		

Terms and Conditions

- 1. ATTESTATION:** I hereby request a rebate for the listed work. I certify that all information on this application is correct to the best of my knowledge and that I have read and agree to all Terms and Conditions of this rebate. I certify that a qualified installer will be hired to install the listed energy-efficient equipment in accordance with Program Guidelines and Terms and Conditions as described on this form. This rebate is for the benefit of NYSEG or RG&E's (the Companies) active nonresidential or residential electric Customers. Program offerings are subject to change without notice. I understand that some restrictions may apply. The Companies reserve the right to conduct field inspections to verify installations. I acknowledge that the Companies, their company partners, New York agencies and authorities will use this information and my attestation to determine whether a rebate will be issued. I understand that any omissions, misrepresentations or inaccuracies on this application may be a basis for a rebate denial, and I assume full liability for any misrepresentations I make regarding this application.
- 2. SUBMISSION DEADLINE:** Pre-approval from the Companies is required on all custom applications unless waived by NYSEG or RG&E. Rebate application must be filled out completely, signed, accompanied by dated itemized receipt(s) and proof of ownership (when necessary). All rebated equipment must be installed by the date agreed upon by the Companies and Contractor.
- 3. CUSTOMER ELIGIBILITY:** Rebates are available to active nonresidential or residential electricity Customers for the purchase and installation of qualifying energy-saving measures in the Companies' service territory. Rebates are offered on a first-come, first-served basis and are subject to project and Customer eligibility and availability of funds. Each Customer must provide a valid utility account number.
- 4. EQUIPMENT ELIGIBILITY:** Heat pump technology must be installed by a Participating Contractor associated with the NYS Clean Heat Statewide Heat Pump Program. The Companies will not pay for any heat pump or alternative electrification technology that is not eligible per the requirements in the Program documents or application. There will be no substitute heat pump or electrification technology unless the substitute is approved by the Companies in advance of installation. All eligible technology must be installed in conformance with federal, state and local code requirements. For scenarios in which Custom project eligibility is not clearly defined, refer to section 3.2.7 of the Program Manual.
- 5. PROOF OF PURCHASE:** The invoice must indicate the full project costs (material and labor), date of purchase, the size, type, make, model, serial number, part number and/or equipment manufacturer specification sheets.
- 6. DOUBLE DIP:** Customers may not apply for or receive multiple incentives for the same measures from another gas or electric utility. Customers may utilize NYSERDA incentives provided conditions in NY Clean Heat manual, section 2.3 Coordination with NYSERDA Programs are satisfied.
- 7. COMPLIANCE:** All projects must comply with all federal, state and local laws, rules, regulations and codes, as applicable. Existing equipment must be removed and disposed of in a proper and legal manner. The Companies encourage that equipment be disposed of and/or recycled in an environmentally friendly manner. Equipment must meet specification requirements. Only one Clean Heat Program rebate will be granted for each project.
- 8. PAYMENT:** Once completed paperwork is submitted and approved by the Companies, rebate payments will be made within an estimated 6-8 weeks. Incomplete applications will be returned. The benefits/payments conferred upon the Customer through participation in this Program may be taxable by the federal, state and local government. The Customer is responsible for declaring and paying all such taxes. The party receiving the incentive payment (Customer or Contractor) must complete IRS Form W-9 and submit it to the Companies with the incentive application materials.
- 9. REBATE FINANCIAL LIMITS:** Current incentive caps are prescribed in sections 2.2 and 2.3 of the Program Manual. The Companies reserve the right to cap incentive amounts on a per-project or per-Customer basis per the needs of the Program.
- 10. PROGRAM EVALUATION:** Customer agrees to cooperate with Program evaluation activities to support evaluation and measurement of energy savings, cost effectiveness and effectiveness of Program processes. This may include on-site inspections, installation of energy metering devices, participation in interviews and surveys, and other evaluation activities.
- 11. PROJECT-LEVEL INFORMATION SHARING:** Customer information may also be provided to the New York State Public Service Commission. Any customer information provided to the New York State Public Service Commission will be aggregated with information about other customers and not personally identifiable. Customer agrees and authorizes the utility's sharing of the participant-customer's information and/or project-level information with New York State Department of Public Service Staff and NYSEDA, including its agents or authorized representatives, consistent with NYSEDA's New York State Public Service Commission and statutorily authorized responsibilities, including, but not limited to, supporting market development initiatives, and other evaluation and measurement activities. (For clarity, the term "project level" includes the information based on the scope of the project, including, but not limited to, aggregated and anonymized whole building, building or subsets of the project.)
- 12. DISCLAIMERS:** The Companies do not endorse any particular market provider, manufacturer, product, labor or system design by offering this Program; will not be responsible for any tax liability imposed on a Customer as a result of the payment of rebates; does not expressly or implicitly warrant the performance of installed equipment or Contractor's quality of work (contact your Contractor for detailed warranties); is not responsible for proper and legal disposal/recycling of any waste generated as a result of this project; and is not liable for any damage caused by the installation of the equipment or for any damage caused by the malfunction of the installed equipment.
- 13. APPLICATION DOES NOT ENTITLE CUSTOMER TO PARTICIPATE:** The Program described in this application may be altered, suspended or canceled by the Companies at any time without prior notice. Under such circumstances, the Customer is not entitled to any Program benefits in excess of those approved prior to such action by the Companies. Submission of a completed application does not entitle the Customer to Program participation. Entitlement to Program participation can only occur after the Companies have signed a copy of the application and granted pre-approval if required by the Companies.
- 14. NO WARRANTIES:** The Customer's reliance on warranties is limited to any warranties that may arise from, or be provided by Contractors, Vendors, etc. The Customer acknowledges that neither the Companies nor any of its consultants are responsible for assuring the design, engineering and construction of the facility or equipment or the installation of the energy savings measures is proper or complies with any particular laws (including patent laws), codes or industry standards. THE COMPANIES DO NOT MAKE ANY REPRESENTATIONS OF ANY KIND REGARDING THE RESULTS TO BE ACHIEVED BY THE ENERGY SAVINGS MEASURES OR THE ADEQUACY OR SAFETY OF SUCH MEASURES, INCLUDING, BUT NOT LIMITED TO, WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE.
- 15. LIMITATION OF LIABILITY:** The Companies' sole liability is limited to paying the properly qualified heat pump or approved alternative electrification technology rebates specified herein. Neither the Companies nor any of its affiliates shall be liable to the Customer or any other party for any damages whatsoever, including, without limitation, indirect, consequential or incidental damages, regardless of the theory of recovery, caused by or arising from any activities associated with this Program.
- 16. OBLIGATIONS BETWEEN THE PARTIES:** Customer acknowledges that any Contractor selected by the Customer is not an Agent, Contractor or Subcontractor of the Companies. The Companies shall have no obligation to maintain, remove or perform any work whatsoever on the energy-saving measures installed. The Companies shall have no liability for Contractor's failure to perform, for failure of the energy-saving measures to function, for any damage to the Customer's premises caused by the Contractor or for any and all damages to property or injuries to persons caused by the energy-saving measures.
- 17. ENERGY BENEFITS:** The Companies are entitled to 100% of the energy benefits associated with the energy-saving measures, excluding the value of energy cost savings realized by the Customer.
- 18. CUSTOMER'S CERTIFICATION:** Customer agrees that all information is true and that they have conformed to all initiative and equipment requirements listed. Customer has verified that the units listed above have been installed correctly. Customer or Customer's representative has been instructed on how to operate and maintain this equipment and has received all necessary operation and maintenance manuals.

Attestation

I hereby request a rebate for the listed work. I certify that all information above is correct to the best of my knowledge and that I have read and agree to all Terms and Conditions of this rebate. I certify that a qualified installer will be hired to install the listed energy-efficient equipment in accordance with Program Guidelines and Terms and Conditions as described on this form. This rebate is for the benefit of NYSEG's or RG&E's (the Companies) active nonresidential or residential electric customers. Customers may not apply for or receive multiple incentives for the same measure from another gas or electric utility. Program offerings are subject to change without notice. I understand that some restrictions may apply. The Companies reserve the right to conduct field inspections to verify installations. I acknowledge that the Companies, their company partners, New York agencies and authorities will use this information and my attestation to determine whether a rebate will be issued. I understand that any omissions, misrepresentations or inaccuracies on this application may be a basis for a rebate denial, and I assume full liability for any misrepresentations I make regarding this application.

Customer or Authorized Representative Name *(please print)*

Title *(please print)*

Date

Authorized Signature

Authorized Representative's Company Name *(if applicable)*
